



D3

7/8/9

SILICON MICROLANCET DEVICE

5

TECHNICAL FIELD

This invention relates generally to microlancet devices and particularly to microlancet devices formed of silicon.

10

BACKGROUND

Lancets are widely used in bidiagnostic applications to pierce a subject's skin to obtain a blood sample for measurement of blood constituents. Lancing with a conventional metal lancet frequently causes pain, and sometimes excessive bleeding. The smallest lancet or needle currently marketed for blood sampling has a diameter between 300 micrometers and 500 micrometers, and is constructed of stainless steel with beveled edges forming the point. Due to the large cross-section of these lancets, fingertip lancing is painful and frequent lancing causes calluses, impairment of the use of hands, and psychological trauma.

Silicon microprobes for neurological research were described in Wise and Najafi, "Microfabrication Techniques for Integrated Sensors and Microsystems", Science 254, pp. 1335-1342, 1991. These probes are sufficiently strong to penetrate brain tissue, but are too weak to penetrate skin because of the fabrication methods employed to make them.

Pisano and co-workers have developed several different methods of making silicon microneedles, as described in L. Lin, A. P. Pisano, and R. S. Muller, "Silicon Processed Microneedles", 7th Int. Conf. Solid State Sensors and Actuators, Transducers '93, Yokohama,

Japan (1993). Their needles are made of thin film silicon nitride and polysilicon, and have not been commercialized. Further, both Wise and Lin rely on boron doping to define the shape of the needle, which both 5 significantly weakens the needle, and requires a lengthy and therefore expensive fabrication period.

In U.S. 6,187,210 B1, Lebouitz et al. describe an epidermal abrasion device. The device has a complex tip with an array of etched pyramids to abrade the skin. It 10 is formed via complex wet etching steps and preferably uses thin SOI (silicon on insulator) silicon wafers, both of which add to fabrication cost. The preferred embodiment of Lebouitz has a body approximately 300 micrometers wide and 150 micrometers thick. Because of 15 its size and multifaceted tip structure, such a device is likely to cause greater tissue damage and thus pain if used as a lancet than the microlancet of the present invention.

It would be highly desirable to provide an improved 20 silicon microlancet device which could reliably and virtually painlessly puncture skin and could be manufactured at low unit cost.

SUMMARY

25 It is therefore an object of this invention to provide a microlancet device fabricated from a silicon substrate. The shaft or probe of such a device is approximately the thickness of a human hair (80 micrometers), much smaller than a conventional metal 30 lancet, yet can penetrate skin reliably and virtually painlessly.

It is a further object of this invention to provide 35 such a microlancet device which is fabricated from a silicon wafer. Silicon is compatible with integrated circuit (IC) fabrication and MEMS (microelectromechanical

systems) technologies employing well established masking, deposition, etching, and high resolution photolithographic techniques. The present microlancet devices may be fabricated in mass quantities from silicon wafers through automatic IC and MEMS processing steps at minimal cost per device.

It is a further object of this invention to provide such a microlancet device which minimizes subject discomfort during lancing to obtain a blood sample. The dimensions of the lancet probe (length, width, and thickness) are very small and cause minimal tissue displacement and related lateral tissue pressure and nerve ending contact. In some cases the displacement may be so minimal that the subject feels no sensation at all during the process. For example in a clinical trial of 62 patients using a microlancet with a thickness of 100 micrometers, the majority found the insertion and retraction of the microlancet device in the arm to be painless. Of the total patients tested, 15% could not even feel the probe penetration and an additional 58% found the penetration to be barely noticeable. Such painlessness is especially important in the pediatric population and for subjects, such as diabetics who must test their blood several times a day.

It is a further object of this invention to provide such a microlancet device which minimizes mechanical failure (breakage) of the lancet during penetration and removal. Only minimal penetration effort is required due to the small lancet cross-section defined by the width and thickness dimensions. These dimensions are much smaller than those of conventional metal lancets. The small cross-section minimizes tissue damage, which is important in the geriatric population, where aging fragile skin can easily tear.

These devices retain the single crystal silicon structure of the starting wafer to preserve strength in the finished device and can use surface treatments to retard the formation of microcracks to maximize strength, 5 flexibility, and fracture toughness. The strength of the microlancet can be further increased by optimal shaping. During fabrication, plasma etching is used to provide control of the probe shape with a smooth continuous profile without weak spots, thus both increasing strength 10 and decreasing potential tissue damage and thus pain.

The microlancet can easily penetrate skin with a large safety factor relative to brittle fracture. Data from skin puncturing tests show that the average force required to puncture the skin (0.038 Newton) is minimal 15 compared to the buckling force required to break the probe (0.134 Newton).

It is a further object of this invention to provide such a microlancet device which penetrates the subject's skin to obtain a blood sample of less than 1 microliter. 20 The dimensions of the lancet probe (length, width, and thickness) are sufficiently small that a submicroliter blood volume is reliably obtained. The small volume produced is an especial benefit in the neonatal population where an infant's total blood volume is 25 limited, and several samples may be required. In the general population, the small sample is useful in that it minimizes messiness.

Briefly, these and other objects of the present invention are accomplished by providing a microlancet 30 device for penetrating the skin to obtain a blood sample. The device is fabricated from a silicon substrate and has a body portion and a probe portion for penetrating into the subject to access the bodily fluid.

BRIEF DESCRIPTION OF THE DRAWINGS

Further objects and advantages of the present microlancet become apparent from the following detailed description and drawings (not drawn to scale) in which:

5 **FIG. 1A** is a sectional view illustrating a first step in a method of constructing a lancet device with a silicon wafer **12a** being first cleaned by a sulfuric acid/hydrogen peroxide mixture in water;

10 **FIG. 1B** is a sectional view illustrating a second step in the method of constructing the lancet device with approximately 2000 Angstrom nitride film **14b** being deposited on the wafer surface;

15 **FIG. 1C** is a sectional view illustrating a third step in the method of constructing the lancet device with the nitride film being patterned using a coating of photoresist **16c** and exposed;

20 **FIG. 1D** is a sectional view illustrating a fourth step in the method of constructing the lancet device with the nitride film **16d** being etched away leaving strips of uncovered bare silicon wafer;

25 **FIG. 1E** is a sectional view illustrating a fifth step in the method of constructing the lancet device with the uncovered areas of silicon being etched away in bulk by potassium hydroxide (KOH) solution;

30 **FIG. 1F** is a sectional view illustrating the method of constructing the lancet device with approximately 50 micrometers to approximately 100 micrometers being exposed after the fifth step;

35 **FIG. 1G** is a sectional view illustrating a sixth step in the method of constructing the lancet device with a photoresist coat **18g** being applied to the silicon wafer;

FIG. 1H is a sectional view illustrating a seventh step in the method of constructing the lancet device with

the wafer being patterned and exposed and the lancet devices being "punched" out using a plasma etching process;

FIG. 1I is a sectional view illustrating a final 5 step in the method of constructing the lancet device with the photoresist coating being removed resulting in a silicon lancet device with penetrating portion 12p and a nitride covered base portion 12i;

FIG. 2 is a chart comparing the average pain 10 perception values for the silicon microprobe device with those for a conventional metal lancet in the finger or arm;

FIG. 3A is a top view of silicon device 30i showing base end 30b and penetration end 30p;

FIG. 3B is a side view of silicon device 30i of 15 FIG. 3A showing silicon nitride film 34; and

FIG. 3C is an end view of silicon device 30i of FIG. 3A showing a rectangular cross-section.

20 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

As illustrated in FIG.s 1A-1I, the present invention is a silicon lancet device, indicated generally at 10i (see FIG. 1I), for piercing the subject's skin to obtain a blood sample for the measurement of biological 25 materials therein. The lancet device 10i is fabricated from a silicon substrate.

Lancet device 10i is a very fine, short probe 12p for piercing the skin of the patient to obtain a small blood sample. Preferably, the lancet device 10i is a 30 silicon lancet having a cross-section between 50 micrometers and 250 micrometers at the base and tapering to a needle point. Furthermore, the lancet device 10i has a length between approximately 1 millimeter and 3 millimeters. The silicon lancet device that punctures the 35 skin and produces a small, i.e. less than 1 microliter

blood sample useful for diagnostic testing of the patient's blood. The lancet device 10i of the present invention is substantially painless and inhibits the formation of calluses on the patient's fingertips.

5 The steps of the fabrication process for constructing the lancet device 10i of the present invention are illustrated in FIG.s 1A-1I and will now be described in detail. As illustrated in FIG. 1A, to fabricate the silicon lancet device 10i of the present 10 invention, first, a silicon wafer 12a is provided. The silicon wafer 12a is initially cleaned with cleaning mixture. Preferably, the cleaning mixture is a sulfuric acid/hydrogen peroxide mixture in water. As illustrated in FIG. 1B, a nitride film 14b having a thickness of 15 approximately 2000 Angstroms is deposited on the surface of the silicon wafer 12b. Next, as illustrated in FIG. 1C, the nitride film 14c is patterned using a coating of photoresist 16c and exposed. Then, as illustrated in FIG. 1D, a portion of the nitride film 14d and the photoresist 20 16d is etched away leaving strips of uncovered bare silicon wafer 12d.

As illustrated in FIG. 1E, the uncovered areas of the silicon wafer 12e are etched away in bulk by potassium hydroxide (KOH). Etching the silicon wafer 12e 25 with potassium hydroxide results in between approximately 50 micrometers and approximately 100 micrometers of the silicon wafer 12e being exposed, as illustrated in FIG. 1F. Next, as illustrated in FIG. 1G, a photoresist coating 18g is applied to the silicon wafer 12g. Then, as 30 illustrated in FIG. 1H, the silicon wafer 12h is patterned and exposed and the lancet devices 10h are "punched" out using a plasma etching process. Plasma etching provides excellent control of the shape of the microlancet without forming weak spots. Finally, as

illustrated in FIG. 1I, the photoresist coating 18h is removed resulting in a silicon lancet device with a nitride-covered base.

A large number of the present lancet devices 10i can
5 be made at the same time on a single silicon wafer 12a,
followed by dicing to separate the individual lancet
devices 10i, each of which is commonly referred to as a
die or chip in the microelectronics industry. Each lancet
device is then sealed in an individual plastic package
10 similar to that used to package integrated circuits.

PAIN PERCEPTION TESTING

Fig. 2 shows the averaged response from 62 patients
in a clinical trial to determine the relative pain
15 perceived from punctures with a silicon microlancet in
the arm compared with punctures in the arm and finger
with conventional metal lancets. As can be seen from the
FIG. 2, the punctures from the silicon microlancet were
found to be noticeably less painful than those from the
20 metal lancets, with the more painful of the two lancet
tests being the finger stick, as expected. The test
subjects repeatedly commented that the silicon
microlancet puncture was virtually painless and far more
comfortable than the finger stick with the metal lancet.
25

MICROLANCET DEVICE - FIG.s 3A 3B and 3C

Disposable microlancet device 30i (see FIG. 3A) may
be employed for obtaining a blood sample through the skin
of a subject. The device is formed by an elongated single
30 crystal silicon substrate 32a having base end 30b and
penetration end 30p. Base portion 32b formed at the base
end of the silicon substrate permits the device to be
retained during penetration and sampling. Penetration
portion 32p formed at the penetration end terminates in a
35

sharp point with smooth continuous cutting profile. The smooth profile permits easy piercing and penetration of the skin in order to obtain a blood sample while inflicting minimum pain on the subject. The penetration portion has a thickness cross-section dimension "T" (see FIG.s 3B and 3C) and a width cross-section dimension "W" (see FIG.s 3A and 3C). The cross-section may be any suitable shape such as rhombic or rectangular as shown in FIG. 3C. The thickness dimension T of the base portion 10 may extend from about 50 micrometers to about 250 micrometers excluding the sharp point. The width dimension W of the base portion may extend from about 50 micrometers to about 250 micrometers excluding the point. At least one of these dimensions may taper toward the 15 penetration end to form the sharp point (see FIG. 3A). The silicon substrate may have a length dimension "L" (see FIG. 3B) of from about 1 millimeter to about 3 millimeters. Silicon nitride film 34 may extend of over at least part of the base portion.

20

INDUSTRIAL APPLICABILITY

The silicon microlancet device 10i of the present invention accomplishes at least three distinct and novel advantages. First, the silicon lancet device 10i can be fabricated in high volume with tolerances much lower than prior art stainless steel lancets. Second, the silicon lancet device 10i has a much smaller diameter than the diameters of prior art lancets, which causes less pain and inhibits formation of calluses. Finally, the silicon 25 lancet device 10i obtains a smaller blood sample from the patient, thereby only requiring a shallow puncture of the skin.

CONCLUSION

Various changes may be made in the structure and embodiments shown herein without departing from the concept of the invention. For example, additional surface treatments may be utilized to improve the fracture toughness of the lancet device. Further, stress distribution calculations used to optimize probe shape may result in changes in etch methods. The particular 5 embodiments were chosen and described in the same detail to best explain the principles of the invention and its practical application. Therefore, the scope of the invention is to be determined by the terminology of the following claims and the legal equivalents thereof.